IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Young-gil Lee

Serial No.: Not Yet Known

Filed : Herewith

For : SPIRAL HEAT EXCHANGE DEVICE

1185 Avenue of the Americas New York, New York 10036

September 30, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. \$ 1.56 and \$ 1.97(a)-(b) applicant directs the Examiner's attention to the following references which are listed on the attached Form PTO-1449 (Exhibit A) and are attached hereto:

- U.S. Patent No. 6,253,668, issued July 3, 2001 to Lee (Exhibit 1);
- U.S. Patent No. 5,966,943, issued October 19, 1999 to Mitchell (Exhibit 2);
- 3. U.S. Patent No. 5,787,974, issued August 4, 1998 to Pennington (Exhibit 3).

This Information Disclosure Statement is being concurrently submitted with the above-identified application.

Applicants:

Young-gil Lee Not Yet Known

Serial No.: Filed :

Herewith

Page 2

No fee is deemed necessary in connection with the filing of the Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

John P. White

Registration No. 28,678 Attorney for Applicants

Cooper & Dunham LLP

1185 Avenue of the Americas New York, New York 10036

(212)278-0400

Form PT			at€	ent	an	d T	rtment of rademark	Atty. Docket No. 71123/JPW/FHB Applicants:						
INFORMATION DIS (Use several si											Young-gil Lee Filing Date September 30, 2003		Group	
									U.S. PA	TENT DOCUMENTS				
Examiner Initial	I	Ooc	u m	ent	Nu	mbe	er		Date	Name	Class	Class Subclass Filing Daif Appro		
	- (5	2	5	3	6	8	8	07/03/01	Lee		<u> </u>		
	5	5	9	6	6	9	4	3	10/19/99	Mitchell				
	5	5	7	8	7	9	7	4	08/04/98	Pennington		<u> </u>	<u> </u>	
								<u> </u>						
,					<u> </u>	\bot						<u> </u>		
<u></u>						_			FOREIGN	PATENT DOCUMEN				
	Document Number								Date	Country	Class	Subclass	Translation	
	İ												Yes	No
								\perp						
				_		↓_	1	\bot					- 	
			_							1		_		
			_	↓_	\downarrow	1_		4_					-	
			₋	1	\perp	-								
			╄-	-	-		+-							1
			-	+	+	-	+	-	_					
			+-	+-	+-	+-	+-	+-						
	<u> </u>		╁-	+	+	+	+	+						<u> </u>
	\vdash	<u> </u>	+	+	-	+	+	+		 		1		†
			+-	+-	+	+-	+	+	-					
		\vdash	+	+-	- -		+	+						
	-	┢	+	+	+	\dashv	+	-			•			
		L						ind s	ENTS (Inclu-	ding Author, Title, Da	te. Pertinent	Pages, Etc.)	•	
	Γ-	Γ-) I I	IER	DC	<u>, , , (</u>) IVI È	214 1 9 (111CIU)	umg Author, Title, Da	ice, i or timont			
		_												
		\vdash												
	<u>1</u>	<u></u>						-	- I					
EXAMINE	₹								DATE CON	SIDERED				
*EXAMIN	ER: Ini	itial	if c	itatio	on co	onsid	cred	, who	ether or not cita	tion is in conformance with next communication to app	MPEP 609: Dra	w line through cita	ition if not	in

Applicants: Young-gil Lee Title: SPIRAL HEAT EXCHANGE DEVICE

U.S. Serial No. not yet known

Filed: Herewith Exhibit A